

SVM-based Automatic Annotation of Multiple Sequence Alignments

Jiansi Ren*

School of Computer Science, China University of Geosciences, Wuhan, China

Email: renjiansi@hotmail.com

Abstract—Multiple Sequence alignments are a critical step in phylogeny inference. There is a lack of an appropriate approach which is capable of 1) finding the best global alignment and 2) automating and reproducing manual editing. Progressive alignment is an effective method for multiple Sequence alignments. However, its application in practice has also long been largely hampered because the alignment regions are not homologous to maximize the alignment score. The standard practice in phylogenetics involves manual editing of alignments and manual editing is a non-trivial task. Aiming at these problems, this study 1) uses SVM to capture the neighborhood of a site to automate and reproduce manual editing, and 2) builds the procedure of SVM Model Training and Automatic Annotation. Experimental results demonstrate that a SVM-based classifier can reproduce the manual editing tasks with an accuracy of 95.5%. This method is stable to both RBF parameters (Gamma and C) and clearly outperforms GBLOCKS and AL2CO, which are conventional editing/annotating methods. The classification accuracy achieved by the proposed method is always much higher than those achieved by the counterpart methods.

Index Terms—Multiple Sequence Alignments, machine learning, automatic annotation

I. INTRODUCTION

Sequence phylogeny is used by biologists to reconstruct the series of events that have led to the distribution and diversity of life. Evolutionary patterns can be found by aligning the sequence of bio-molecules such that homologous positions are aligned into columns.

Biological sequences are supplemented with previously released sequences that are collected from databases using algorithms such as BLAST [1]. Sequence alignments are a critical step in phylogeny inference. However, finding the best global alignment is a computationally complex operation. If a region of the global alignment isn't properly aligned, the phylogeny reconstruction will attempt to accommodate the erroneously alignment character into the phylogeny, leading to a decrease in resolution. Obtaining biologically accurate alignments is a challenge, as the best methods sometimes fail to align readily apparent conserved motifs [2]. The exhaustive solution has the order of $O(n^k)$ where n is the length of the longest sequence and k is the number of sequences, a prohibitive constraint with only a few sequences [3]. Heuristics have been developed, the

most famous of which is probably the progressive alignment method [4].

The progressive alignment algorithm is based on the idea that sequences to be aligned are phylogenetically related and these relationships are used to guide the alignment. Using this approach a tree is inferred by performing alignments [5] between each possible pairs of sequences. The distance between each pairs of sequence is computed as the number of mismatched positions in an alignment divided by the total number of matched position. A neighbor joining [6] "guide tree" is generated from these pair-wise distances, which gives the order of the generation of progressive alignment. The alignment continues with each step treated as a pair-wise alignment between a cluster and the next closest sequence. Gaps are added to an existing multiple sequence alignment and a gap will always be a gap.

A penalty is incurred by introducing and extending a gap. For a linear gap penalty this amounts to scoring each column of the alignment by the sum of the amino acid pair scores in this column. The corresponding score is called the sum of pairs (SP) score [7]. Although progressive alignment enjoys immense popularity and is used in multiple alignment programs like ClustalW [8], it has some weaknesses such as it will attempt to align regions that are not homologous to maximize the alignment score. Furthermore there is no ultimate way of quantifying whether or not the alignment is good.

The standard practice in phylogenetics involves some level of manual editing of alignments. The whole process of manual editing is a time consuming and a non-trivial task. Our aim is to automate and reproduce manual editing using artificial intelligence. The method of choice in this study is neural networks, although we have tested a selection of alternative strategies in the past [9]. In previous work [9], decision tree induction (C4.5), Naïve Bayes, and support vector machine methods were applied to the same dataset. There was no clear winner among the different approaches. SVM [10-13] recorded high precision for the classification of inadequate sites where as for the prediction of valid sites C4.5 was the best. Because the manual editing process often considers the neighborhood of a site, we finally chose to use SVM to capture this important factor.

Reproducing the manual editing of multiple sequence alignment has two aims: 1) to automate the process to improve the quality of the input data for large-scale

phylogenetic studies, and 2) to improve the repeatability of the process of editing. We believe that this process may outperform manual editing because it also considers the general phylogenetic structure of the data by using site likelihood computed on a preliminary tree.

The rest of this paper is organized as follows. Section II briefs related work in uses of methods for alignment editing. Section III introduces the methods and the process of implementation. This section also details an application of this system. System accuracy and stability are presented in Section IV. This section also provides a performance evaluation by comparing the approach with the existing editing tools. Section V concludes the paper with a summary.

II. RELATED WORK

Other work have been done on alignment editing: GBLOCKS [14], AL2CO [15] are a few software implementations of alignment editing programs. GBLOCKS is a program that is designed to take as input a multiple protein sequence alignment and perform editing to produce a similarly formatted output with the putative “inadequate” sites removed. GBLOCKS claims to be based on the improvement of phylogenetic results and takes into account homology rather than sequence similarity. While GBLOCKS can function as an alignment editing program and was shown to yield improved results for phylogenetic analysis [14], it is not the one that emulates the manual editing process. The criterion was chosen by the user indicating the amount of variability that will be tolerated at a site. This approach effectively removes columns corresponding to the highest site rates with the argument that they contain multiple hidden substitutions and are then ill-suited for phylogenetic analysis. However, these fast-evolving sites may contain valuable phylogenetic signal to resolve closely related sequences. In the AL2CO implementation, the concept of conservation index was introduced and recommended for use as a parameter for refinement of multiple sequence alignment [15].

Our method was trained on multiple sequence alignments extracted from the PFAM database [16]. About 13,000 sites were classified as valid, inadequate or ambiguous. The latter class was used in the design in hope that the classifier could perceive elements in the alignment that are not obvious to the human eye. Using this annotated corpus, training and testing were performed to create an automated annotator of multiple sequence alignment that can be used for editing.

III. METHODS

This section first addresses the dataset and parameterization. After that, the procedure of SVM Model Training and Automatic Annotation is proposed.

A. Dataset

Thirty-six multiple sequence alignments of protein domains were arbitrarily retrieved from PFAM [16], a database containing a collection of multiple alignments of protein domains or conserved protein regions. A total of

about 13,000 sites of multiple sequence alignments were manually annotated by the authors. Two classes were identified during manual annotation, inadequate and valid sites. Sites were classified as valid where there was evidence that the variability in residue identity within the site was solely due to a substitution process occurring over time. Inadequate sites appeared to be the results of alignment artifacts or contain gap characters for most sequences in the alignment. The natural distribution of the data set is 23%-77% inadequate valid.

B. Parameterization

Five parameters were gathered from the multiple sequences. The first parameter derived from the alignments is called gap ratio g . For each site, we use N-gram analysis (default size=3) and the gap ratio of a site is calculated by dividing the number of N-grams (C) that contain gap characters (-) by the total number of N-grams in the given site (T). Thus, the following equation is used to find the gap ratio.

$$g = \frac{C}{T} \quad (1)$$

The possible values of gap ratio, then, lie between 0.00, where none of the sequence in a column have a gap at the site, and 1.00, when all sequences in the column contain a gap character.

The Normalized Site Likelihood Ratio (NSLR) is the site log likelihood ($\log(l)$) considering the data in a column of the alignment, the JTT substitution model [17] and a Neighbor joining tree created from an unedited alignment, minus the site log likelihood (assuming that all sequence are unrelated) of base states picked at random from a set of residues frequencies in the JTT evolutionary model: $\log(r)$ normalized by the number of sequences in the alignment without a gap at that position $((1-g)*t)$.

$$NSLR = \frac{\log(l) - \log(r)}{(1-g) \times t} \quad (2)$$

Where, l = site for a given preliminary tree, r = the site likelihood if the sequences were unrelated (i.e. independent, or random), g = gap ratio, and t = number of sequences. The value of the normalized site likelihood ratio is not bounded, except by zero as a minimum.

Third, parsimony count (PC) is the gap to no-gap transitions given a preliminary tree. The Parsimony count means the minimum number of character changes observed on the tree. The parsimony count was calculated by converting each alignment column into a binary vector (gap/no-gap character). NJ tree was used as a guide to count PC. Site rate, the fourth parameter, is the measure of the rate of evolution at a site relative to other sites in the alignment.

The 4th parameter, site rate, was evaluated using the NJ tree of the unedited alignment, the JTT model and the libcov library [18]. The alpha parameter was estimated from the data.

The 5th parameter is the Normalized Similarity Score (NSS), which was calculated based on N-gram analysis

using the CNG formula [19] for calculating similarity score as follows:

$$\text{Similarity} = \sum_{g \in D1 \cup D2} \left(\frac{2 \cdot (f_1(g) - f_2(g))}{f_1(g) + f_2(g)} \right)^2 \quad (3)$$

where $f_i(g)=0$ if $g \notin D_i$. Once we got the similarity score, we have $\text{NSS} = \text{Similarity}/T$, where T is the total number of N-grams for the given site. Default size used for N-

gram analysis is 3. For each site, only the next contiguous site was selected to do the similarity analysis.

After parameterization, we have the following output for every multiple sequence alignment and here is an example (Table I):

TABLE I.
OUTPUT OF A SAMPLE PARAMETERIZATION

class variable	gap ratio	NSLR	PC	site rates	NSS
1	0.692308	0.517516	0	1.58326	7.3333333
1	0.692308	0.590195	0	2.53675	6.6666667
1	0.769231	0.555369	0.1603	1.19501	4.2314815
1	0.307692	0.649415	0	2.53675	4.0012472
1	0	0.656452	0	2.53675	5.1067823
1	0	0.546849	0.3544	0.927187	2.6718751
0	0	0.53055	0	1.58326	4.3541667
0	0.692308	0	0	2.53675	1.3333333
0	0.692308	0	0.7143	2.53675	2.88
1	0	0.522676	0	0.71405	3.037037
1	0	0.678862	0	0.160314	5.666667

Alignment file used here is a2m.ann.fta. The first column is the class variable, where 1 indicates a valid site and 0 indicates an invalid site. The 2nd column is gap ratio, the 3rd column is Normalized Site Likelihood Ratio (NSLR), the 4th column is Parsimony Count (PC), the 5th column is the site rates and the last column is the Normalized Similarity Score (NSS).

C. SVM-based Implementation

1) LibSVM package

LibSVM package was employed to build our application. It is an integrated software stack for supporting vector classification, regression and distribution estimation. This package includes source code written in different languages such as C++, Java, Python, Perl, and Matlab and so on.

2) SVM model training

Before doing classification or annotation for a multiple sequence alignment, we need to obtain a model by training our machine learning system [20-22]. The procedure of training a model was illustrated in Fig 1.

In the first place, the training data set was prepared by manually annotating a set of sites and then doing parameterization. The output of our parameterization is then transformed to a standard format (Table II) to feed the SVM application so that we can get a trained model and save it for later use (classification or annotation).

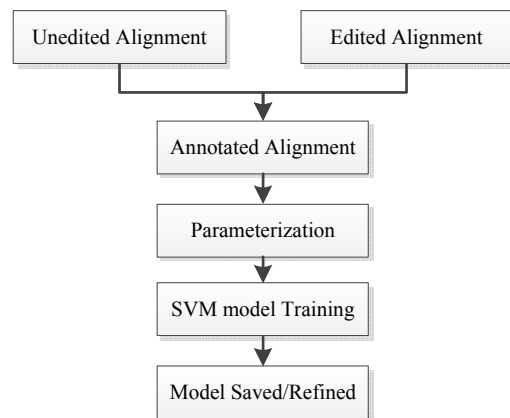


Figure 1. Procedure of SVM Model Training.

Annotated alignments initially used for model training could be prepared by end user by simply providing a pair of unedited and edited alignment, and then this system will generate the annotated alignment. In this regard, the SVM model could be refined.

“C” in class row indicates the corresponding site is invalid while “Z” stands for a valid site. The only difference between an annotated alignment and an edited alignment is that all sites that contain only gap characters which are marked as “C” will be removed from the alignment file.

IV. RESULTS

System accuracy and stability were tested in this section. Experimental results were evaluation by comparison with existing editing tools.

A. System Accuracy

The accuracy of automatic annotation (or site classification) of this system is 95.5% by using 10-fold cross validation testing on the current data set (about 13,000 sites in total).

B. System Stability

This system was tested by using different combinations of SVM parameters (C, gamma, and Kernel Type and so on). The final Kernel type was used is radical basis function (RBF), since the system performs the best with this kernel type. For RBF kernel, a set of C and gamma were tested and here is the output (Table III, Table IV):

TABLE III. OUTPUT OF C

C	accuracy
1	95.0542%
2	95.1097%
5	95.0859%
10	95.1493%
20	95.1810%
25	95.1889%
50	95.2523%
100	95.2602%
200	95.2840%
500	95.3077%
1000	95.3791%
5000	95.5613%
50000	95.5692%

TABLE IV. OUTPUT OF GAMMA

Gamma	Accuracy
1	95.3846%
5	95.6151%
10	95.6943%
20	95.5574%
50	95.579%
100	95.5358%
200	95.4638%

As we can see from the result above, this system is stable for both C and Gamma parameters, since the system accuracy is always around 95.5% with different choices of C or Gamma.

C. Evaluation by Comparison with Existing Editing Tools

As mentioned previously in the introduction section, some existing tools were frequently used to do multiple sequence alignment editing in phylogenetical analysis such as GBLOCKS [14] and AL2CO [15]. To evaluate the performance of our system, the best way is to do comparisons between our application and those existing tools.

1) Comparison with GBLOCKS

Annotation performed by GBLOCKS is to take as input a multiple protein sequence alignment and perform editing to produce a similarly formatted output with the putative “inadequate” sites removed, where valid sites were marked by blue blocks, the rest part of the alignment is considered as inadequate sites as illustrated in Fig 4.

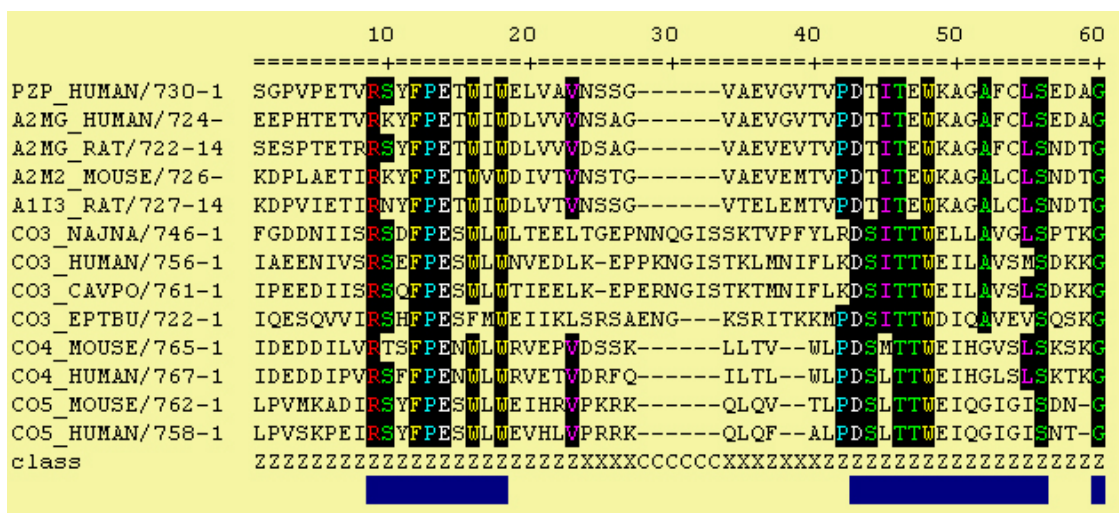


Figure 4. Annotation by GBLOCKS

The alignment used here is a2m.ann.fta. The last row in the alignment is our manually annotated class labels (training data) for each site. Blue blocks are the output of GBLOCKS which indicates the corresponding sites are valid sites.

Using GBLOCKS as a classifier, we calculated its accuracy for site classification and here is the result of comparison (Table V). Obviously, our system shows higher accuracies in comparison with GBLOCKS.

TABLE V.
COMPARISON BETWEEN OUR SYSTEMS TO GBLOCKS

Alignment	This work	GBLOCKS
a2m.ann	87.3%	39.7%
malic.ann	91.5%	30.6%
MotA_ ExbB.ann	90.7%	23.9%
aa_permease.ann	91.2%	44.9%
bac_export_1.ann	94.7%	21.6%
bunya_g1.ann	97.6%	94.56%
rubisco_large.ann	96.4%	98.1%

The accuracy for the last alignment is higher than our system. This is because GBLOCKS reserves almost all the sites and considers them as valid.

2) Comparison with AL2CO

In the AL2CO implementation, the concept of conservation index was introduced and recommended for use as a parameter for refinement of multiple sequence alignment. Here is an example of output by AL2CO as shown in Table VI.

TABLE VI.
OUTPUT OF AL2CO

serial number	protein name	conservation score
18	W	2.534
19	E	-0.718
20	L	0.062
21	V	0.032
22	A	-1.578
23	V	1.260
24	N	-0.804
25	S	0.079
26	S	-0.718
27	G	-0.447
28	-	-1.000 *
29	-	-1.000 *
30	-	-1.000 *
31	-	-1.000 *
32	-	-1.000 *
33	-	-1.000 *
34	V	-1.124
35	A	-0.434
36	E	-0.400

Alignment used here is a2m.ann.fta.

Followed the procedure proposed above, we obtained the accuracies for AL2CO as a classifier and here is the result compared to our system (Table VII).

Alignment used here is malic.ann.fta. The first column is the serial number of each site, the second column is the representative protein name for each site and the last column is the conservation score generated by AL2CO.

Since AL2CO didn't classify each site implicitly, we may use an alternative way to make it a classifier. The idea is to divide the output of AL2CO into 2 groups by choosing a threshold. If the conservation score is higher than the threshold, we then considered it as 1 (valid site) otherwise 0 (invalid site). The problem for this idea is that what the best splitter (threshold) will be?

By taking information theory into consideration, we can figure out a reasonable way of solving this problem:

1. Choose each of these conservation scores as thresholds and build a confusion matrix with four values TP (True Positive), TN (True Negative), FP (False Positive) and FN (False Negative).

2. Calculate information gain (IG) for each threshold using the following formula (Equation 4):

$$IG = - \sum p_i \log p_i \tag{4}$$

Where $p_i = (TP, TN, FP, FN) / (TP + TN + FP + FN)$

3. Find the highest value of IG (Fig 5) which is a relatively easy job to do after the IG distribution plot was generated. Choose the conservation score with highest IG as the best threshold and then calculate the corresponding accuracy for the given alignment and compare to that of our system.

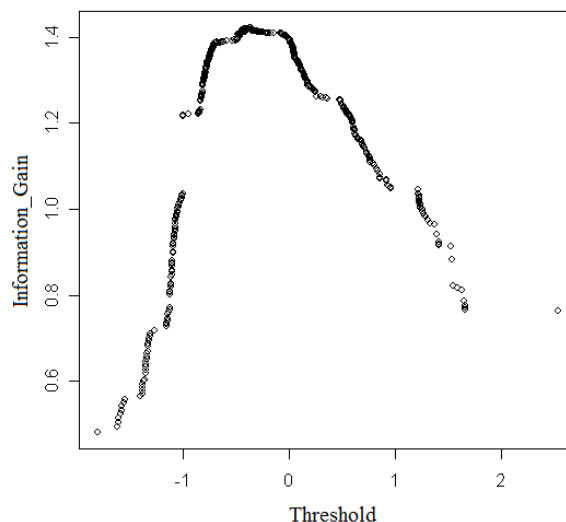


Figure 5. Information Gain values distribution.

TABLE VII.
COMPARISON BETWEEN OUR SYSTEM TO AL2CO

Alignment	This work	AL2CO
a2m.ann	87.3%	55.1%
malic.ann.	91.5%	53.3%
MotA ExbB.ann	90.7%	52.7%
aa_permease.ann	91.2%	54.6%
bac_export_1.ann	94.7%	51.0%
Bunya_gl.ann	97.6%	50.1%
Rubisco_large.ann	96.4%	50.2%

The result above also shows that our system outperforms AL2CO with higher accuracies.

V. CONCLUSIONS

In order to cater for the needs of Multiple Sequence Alignments, this study explores an approach to 1) automate and reproduce manual editing, and 2) enable efficient and scalable Automatic Annotation. The first issue had been addressed using SVM to capture the neighborhood of a site. The Automatic Annotation problem had been tackled by building the procedure of SVM Model Training and Automatic Annotation. Comparison with existing editing tools had been carried out and revealed this method can facilitate the process of multiple alignments annotation. It is stable for both of RBF parameters (c & γ). This system outperforms some of the existing annotation methods with higher accuracy. Most importantly, this method allows individual users to refine or redefine the training set used to build the classifier by simply providing example pairs of annotated and original MSA in order to reproduce the editing criteria of individual phylogeneticists. This refine/redefine process does not require any knowledge of SVM-based machine learning classification from the end-user. It provides an ideal tool for Multiple Sequence Alignments.

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Jiansi Ren received the B.Sc. degree from Northeast Normal University, Changchun, China, and the M.Ed. degree from Central China Normal University, Wuhan, China. He received the Ph.D. degree from China University of Geosciences, Wuhan, China. He is currently a lecturer in the School of Computer Science at China University of Geosciences, Wuhan, China. His research interests include computer-based modelling and simulation, bioinformatics, and computation intelligence.