A Wavelet Neural Networks License Recognition Algorithm and Its Application

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Abstract— Using wavelet transform to handle auto-mobile image with complex background for license localization, then preprocess license characters on vehicle licenses, and extracting the textural features of license characters in wavelet space, this paper proposed a novel algorithm for vehicle license localization and character recognition which is based on adaptive wavelet neural networks. Firstly, it uses the wavelet transform to preprocess color vehicle image into index image which undergoes wavelet transform to obtain wavelet feature coefficients. Secondly, license position could be located through morphological operation. Thirdly, it extracts the features of localized license characters in wavelet space which is presented to the wavelet neural network as inputs. At last, an adaptive wavelet neural network based on wavelet transform is constructed to recognize license characters. Experimental results demonstrate that the proposed approach could efficiently be used as a vehicle license characters recognition system with high convergence, which is robust for license-size, licensecolor and background complexity.

Index Terms—license localization, character recognition, wavelet transform, feature extraction, wavelet neural network

I. INTRODUCTION

Since vehicle images are usually photographed in complex background influenced by various illuminations, it's rather difficult to detect license plate and recognize characters therein. Therefore, it's becoming a key issue as to how to accurately locate vehicle license region and recognize license characters. With the anticipation to resolve the above-mentioned problem, people have conducted various researches and proposed numerous license localization and character recognition algorithms, most of which are based on the different features of the vehicle license.

Among these algorithms, the relatively matured are listed as follows: structural pattern recognition uses the rich structural information of characters to extract textural feature as recognition norm [1-3]. Statistical pattern recognition extracts a group of statistical features of the license characters, then classifies it by decision functions according to some rules [4-5]. Combination-based algorithms generally integrate advantages of both statistical and structural recognition algorithms, and enable themselves to process various and even more complicated patterns [6-7]. Artificial neural network is an adaptive non-linear dynamic system by stimulating the structure of human brain cells, which is composed of inner-connected neural. It achieves tremendous classification and recognition for data by adjusting connected-weights between nets [8-10]. Fuzzy neural network, as an integration of fuzzy systems and neural networks, is rapidly being developed to handle with fuzzy information [11-12].

Although current vehicle license localization and character recognition algorithms have been exploited in practical appliances, the recognition accuracy is still not high enough for real time supervising vehicle. In this paper, a novel algorithm of license localization based on wavelet transform and character recognition based on adaptive wavelet neural network is proposed, which can greatly improve the accuracy of license localization and character recognition.

The principle of license localization can be stated as follows: first to transform the color vehicle image into index image which then undergoes wavelet transform. So we can further analyze the wavelet coefficients of LH sub-band. Secondly, wavelet coefficients can be classified into two groups through dynamic threshold, which was obtained through the mean, energy and entropy of the coefficients of LH sub-band. By applying morphological operations, these two clusters form two areas: license candidates and non-license candidates. At last, the detected license candidates undergo the empirical

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rules to identify license areas and project profile to refine their location.

And the procedure of license character recognition is: firstly a binary process is applied to the extracted license gray image, whose result will then be transformed into the corresponding index image. And the index image will also undergo a wavelet transform to obtain high frequency sub-bands (LH, HL, HH). Secondly, features of the wavelet coefficients such as the mean, energy, entropy can be worked out, and a dynamic threshold will be obtained through these features. The big wavelet coefficients will be reserved through the threshold. Then wavelet coefficients of each sub-bands will be projected horizontally and vertically to extract a group of statistical features of license character. At last, with the obtained character feature vector, the wavelet neural network will recognize it correctly.

The paper is organized as follows. Section II presents the individual steps of our approach for license localization. Section III presents the procedure for license characters recognition. Section IV discusses the experiment results. In the final section, the conclusions are given.

II. LICENSE LOCALIZATION

In this section, the processing steps of license localization are presented. Our aim is to build an automatic license detection system which is capable of handling automobile image with complex background, little slope, arbitrary size and color. From Fig. 1 we can see that the proposed approach is mainly performed by four steps: wavelet transform, texture feature extraction, license candidates detection, license localization, which will be described in detail as follows.



A. Wavelet Transform of the Image

Vehicle license plate (VLP) in china is mainly composed of about 50 Chinese characters, 26 English capitalization characters and 10 Arabian figures, and the font of the VLP is stable. A VLP consists of about 7 characters and forms a rectangle which ratio of width and height is 4:1. Text is mainly made up of the strokes in horizontal, vertical, up-right, up-left direction. It has weak and irregular texture property, and can be done as a special texture. Texts in the same VLP often have the same color, and contrast clearly with the background which also has the same color. There are "blue background white character", "yellow background black character", "black background white character" and "white background black character", and so forth. So the edge information of the VLP is abundant. These texture properties and color features are combined to locate VLP in vehicle images. Firstly, we convert the color vehicle image into indexed image. Then on the indexed image a wavelet transform is applied to capture coefficients of respective sub-bands.

The main characteristic of wavelet transformation is to decompose a signal into sub-bands at various scales and frequencies, which is useful to detect edges with different orientations. In the 2-D case, when the wavelet transform is performed by a low filter and a high filter, four subbands are obtained after filtering: LL (low frequency), LH (vertical high frequency), HL (horizontal high frequency) and HH (high frequency). In the three highfrequency sub-bands (HL, LH, HH), edges in horizontal, vertical and diagonal directions were detected [13]. Since license area is commonly characterized by high contrast edges, high valued coefficients can be found in the highfrequency sub-bands. From Fig. 2 we can see it.



Figure 2. Wavelet coefficients of vehicle image

B. License Feature Extraction

As we know, the shape of license is a horizontal rectangle. There are about 7 characters and 20-25 pieces of high contrast, dense edge information in a license area. From Fig. 3 we can see it. So high valued coefficients can be found in the vertical high frequency sub-bands (LH) and the coefficients form a dense block.



Figure 3. Edge information of license

In this paper, license feature extraction bases on LH (vertical high frequency sub-bands) of wavelet coefficients of a vehicle image. Since the value of coefficients in license area is very high, we can filter the low valued coefficients through a dynamic threshold [14]. And how to select an effective threshold is the key to filtering successfully. Here, we employ the statistical features in mean, energy and entropy on the transformed vehicle image to capture the dynamic threshold. They are computed using the equations as followed.

$$f_{1} = \frac{1}{w \times h} \sum_{i=1}^{w} \sum_{j=1}^{h} I(i, j)$$
(1)

$$f_{2} = \frac{1}{w \times h} \sum_{i=1}^{w} \sum_{j=1}^{h} I^{2}(i, j)$$
(2)

$$f_{3} = \sum_{i,j} I(i,j) \cdot \log I(i,j)$$
(3)

Where, *I* is the license, *w* is the width of the image, *h* is the height, and (i, j) is the pixel position in the image. The dynamic threshold is computed using (4).

$$K_m = a_m \cdot f_m \,. \tag{4}$$

Where K_m is the threshold in various situations, a_m is the respective coefficient of K_m , and f_m is the statistical features of (1), (2), (3), and, m=1,2,3,4. To accelerate the computation speed, the statistical feature of mean and energy is chosen to capture the dynamic threshold. From Fig. 4 we can see the filtered wavelet coefficients (LH, HH).



C. License Candidates Detection

When we detect the license in the image, it could be assumed that the vehicle image is composed of two clusters: license area and background. Seen from Fig. 4, high valued coefficients can be found in the vertical high frequency sub-bands (LH) and the coefficients form a dense block. Firstly, a binary process is applied to the remained coefficients of LH sub-band. Then two morphological operations "dilation" and "open" are applied to the transformed image (LH sub-band). The result can be seen from Fig. 5 (a). Finally, a sliding window of size $k \times l$ pixels is moved over the transformed image to discard small isolated objects as background and reserve the corresponding license candidates [15-17]. The results of the license candidates were shown after morphological operation in Fig. 5 (b).

D. License localization

License localization is mainly performed by three steps based on some empirical knowledge:

Step 1, the algorithm of region growing is used to compute the ratio of width, height and areas of all license candidates. If the ratio or areas is too big or small, we think the candidate is background and discard it.



(a) LH on dilate and fill operation(b) LH on open operationFigure 5. Wavelet coefficients after morphological operation

Step 2, according to the stable property of the couples of license characters and background, such as "blue background white character", "yellow background black character", "black background white character" and "white background black character", we apply C-means algorithm to cluster the pixels of all license candidates based on color-couple [18-19], and compute the pixel number of every cluster.

Step 3, almost all of the position of the license in a vehicle image is lower than half of the image height. We can compute the height of the license candidate from itself to the bottom of the vehicle image to locate the license.

If any of the above steps locates the license, then license detection task has been accomplished.

The rules are noted as follows:

--- the ratio of width and height of license candidates (*t*): $t_1 < t < t_2$

--- the areas of license candidates (*s*): $s_1 < s < s_2$

--- the pixel number of clusters based on color couple (c): $c > c_1$

--- the height of license candidates in vehicle image (*h*): $h < h_1$

Here, t_1 , t_2 , s_1 , s_2 , c_1 , h_1 is respective threshold for the above rules. According to experiments, they are appropriate as noted in Table I.

TABLE I. PARAMETERS OF EMPIRICAL RULES

t_I	t_2	<i>s</i> ₁	<i>s</i> ₂	c_{I}	h_I
2	5	1000	8000	s/2	H/2

In Table I, *H* is the height of vehicle image.

If the license candidate does not satisfy the rules, it is considered to be a non-license area. On the contrary, the candidate is license if it satisfies the rules. Finally, the license undergoes a project profile analysis to refine the license location.

III. LICENSE CHARACTER RECOGNITION

In this section, the processing steps of the proposed license character recognition approach are presented. Our aim is to build an automatic license character recognition system which is capable of handling the extracted license gray image in section II. From Fig. 6 we can see that license character recognition is mainly performed by four steps: wavelet transform, texture feature extraction, wavelet neural network design, wavelet neural network training, which will be described in detail in Fig. 6.



Figure 6. Flow chart of license character recognition

A. License Character Feature Extraction

As we know, the shape of license is a horizontal rectangle. There are about 7 characters and each is made up of the strokes in horizontal, vertical, up-right, up-left directions. So, a wavelet transform is applied to the extracted license index image to capture coefficients of respective sub-bands. Then high valued coefficients of the character edge can be found in the high-frequency sub-bands [20]. We can see it from Fig. 7.



Figure 7. Wavelet coefficients of vehicle license image

We also can filter the low valued coefficients through a dynamic threshold to retain the higher valued coefficients which can best represent the feature of license characters edge. Here, we also employ the statistical features in mean, energy and entropy on the transformed vehicle license image to capture the dynamic threshold [21-22]. Equation (4) is used to compute the dynamic threshold. From Fig. 8 we can see the filtered and binary wavelet coefficients (HL, LH, HH) of character image "5".



Figure 8. Wavelet coefficients after filter of character image "5"

By vertically projecting high-frequency HL, horizontally projecting LH, both vertically and horizontally projecting HH, the statistical features of the character "5" can be captured. Here, we assume the size of the character is 21×15 , therefore, each character forms a 72 dimensions feature vector. From Fig. 9, we can see it.



Figure 9. Projecting statistical features of binary wavelet coefficients of character "5"

B. Designed wavelet neural network

Adaptive wavelet neural network is a neural network model based on the theory of wavelet transforms and neural networks. It uses non-linear base to replace nonlinear activation function in general neural networks, and integrates the merits of wavelet transforms and neural networks.

In this paper, an adaptive wavelet neural network is proposed to recognize the license characters. We can see it in Fig. 10. It looks for a group of proper wavelet bases in the wavelet feature space, and adjusts wavelet parameters adaptively to minimize the value function through network learning [23].



Figure 10. Adaptive wavelet neural network model

Equation (5) is applied in the designed wavelet neural network to recognize vehicle license characters.

$$y_{i}(t) = \sigma[\sum_{j=1}^{J} \omega_{i} \sum_{k=1}^{m} x_{k}(t) \varphi(\frac{k-b_{j}}{a_{j}})]$$
(5)

Where, $x_k(t)$ is k input variables, $y_i(i=1,2,...,n)$ is *i*'th output, *j* is the node number of the hidden layer. Weight function, from the k'th node of input layer to the *j*'th node of hidden layer, is the wavelet function $\Psi((k-b_j)/a_j)$. Here, w_{ij} is the connection weights from the *j*'th node of hidden layer to the *i*'th node of output layer, σ is Sigmoid function. w_{ij} , a_j and b_j are training parameters of wavelet neural network [24-25]. Since vehicle license is mainly composed of about 50 Chinese characters, 26 English characters and 10 Arabian figures, the node number of output layer must be more than 8. Considering the training speed and feature vector dimension of single license character, the numbers of neural nets in input layer, hidden layer and output layer are respectively *k*, *j* and *i*. Each number is shown in Table II.

TABLE II. NEURAL NUMBER IN WAVELET NEURAL NETWORK

input layer (k)	hidden layer (j)	output layer (i)
72	10	10
12	10	10

C. Wavelet neural network training

The learning of the above parameters w_{ij} , a_j and b_j can be obtained by minimizing the energy function. Assume

that the total number of input samples is p_0 , thus the energy function is (6).

$$E = \frac{1}{2} \sum_{p=1}^{p_0} \sum_{i=1}^{n} \left| g_i^{p}(t) - y_i^{p}(t) \right|^2$$
(6)

Suppose n_0 is number of iterations, in order to accelerate the convergence speed and avoid the training vibration, a momentum constant α is introduced. Therefore, the update equation about weights can be (7), (8), (9).

$$a_1(n_0+1) = a_j(n_0) - \eta \frac{\partial E}{\partial a_1} + \alpha \Delta \alpha_j(n_0)$$
(7)

$$b_1(n_0+1) = b_j(n_0) - \eta \frac{\partial E}{\partial b_1} + \alpha \Delta b_j(n_0)$$
(8)

$$w_{ij}(n_0+1) = w_{ij}(n_0) - \eta \frac{\partial E}{\partial w_{ij}} + \alpha \Delta w_{ij}(n_0)$$
(9)

Where, η is the predefined step length. It becomes the general gradient algorithm when α equals zero. Thus, we have the training algorithm of parameters w_{ij} , a_j and b_j as follows:

Algorithm: Wavelet Neural Network Training Input: weights set W_{kji} for each layer, input sample set X, target sample set G Output: weight w'kii for each layer begin foreach w_{kii} , a_i , b_i do $w_{kii}, a_i, \dot{b}_i \leftarrow random(0,1)$ end foreach $x_k \in \mathbf{X}, g_i \in \mathbf{G}$ do x_k , g_i normalize end while E>expected threshold do foreach layer do layer-output=compute(node,X, w_{kii} , a_i , b_i) end $E = \frac{1}{2} \sum_{p=1}^{p_0} \sum_{i=1}^{n} \left| g_i^{p}(t) - y_i^{p}(t) \right|^2$ forlayer input \rightarrow hidden do $\Delta w_{kji}, \Delta a_j, \Delta b_j = \text{grad}(\text{morlet,E})$ $w_{kji}, a_i, b_j = w_{kji}, a_j, b_j + \Delta w_{kji}, \Delta a_j, \Delta b_j$ end forlayer hidden \rightarrow output do Δw_{kii} =grad(sigmoid,E) $w_{kji} = w_{kji} + \Delta w_{kji}$ end end end

IV. EXPERIMENTAL STUDIES

A. Vehicle license localization

In order to evaluate the proposed license localization algorithm, a dataset of 200 vehicle images was obtained in various backgrounds. We preprocess all the images into nearly 200000 pixels (500×400) depending on the original image size to save computation costs. A bior3.7 wavelet was applied to transform the vehicle image. From Table III, we can see the value scope of threshold coefficients a_m in (4). Parameters k=60 and l=20 are introduced to scan the image, since the size of license candidate is more than that of the rectangle of 60×20 .

TABLE III. SCOPE OF THRESHOLD COEFFICIENTS

<i>a</i> 1	<i>a</i> ₂	<i>a</i> 3
5-10	1.5-3	10-18

After processed by step 1 of license localization, the accuracy of license localization is very high. And the accuracy is nearly 100% with processing of step 2 & 3. The accuracy of once localization is 96%. Further more, a color segmentation based on color feature of license is proposed. It made the accuracy of license localization amount to 99.6%. In this paper, the once accuracy of the license localization approach based on wavelet transform is nearly 98%. The further accuracy of license localization is amount to nearly 100%.

The experimental results tested by the feature of mean, energy and entropy can be seen in Table IV. It demonstrates, on license localization, the accuracy and robust of our algorithm is far better than that of any other algorithm.

 TABLE IV.
 DETECTION RESULTS BY DIFFERENT FEATURE IN VARIOUS THRESHOLD COEFFICIENTS

Feature	Parameter (a_m)	Step-1 Precision (%)	Step-2&3 Precision (%)
	5	99.1	99.9
	6	98.8	99.9
Mean	7	99.3	100
	8	98.2	99.9
	9	99.3	99.8
	1	98.1	99.9
	1.5	97.6	99.8
Energy	2	98.5	100
	2.5	98.2	99.9
	3	98.9	99.8
	15	97.3	99.3
	16	96.8	99.8
Entropy	17	97.7	99.2
	18	95.2	97.8
	19	96.6	98.7

Fig. 11 shows the experimental results of various kinds of vehicle images with different color, size, illumination and background. The licenses are labeled with the red rectangle to circle it. Fig. 11 (a) shows a "blue background white character" license of black car with background of bungalow, and the size of license is $145 \times$ 33. Fig. 11 (b) shows a "blue background white character" license of white car with background of building site, and the size of license is 149×35 . Fig. 11 (c) shows a "blue background white character" license of white bus with background of parking center, and the size of license is 94×25 . Fig. 11 (d) shows a "yellow background black character" license of truck with background of road, and the size of license is 105×26 . The experimental results demonstrate the proposed approach is robust for the different color, size, illumination and background of vehicle license.



Figure 11. Experimental results of license localization

B. License character recognition

A dataset of 50 vehicle license plates was used to evaluate the proposed license character recognition algorithm described above. To save the computation costs, we preprocess all the license character images into the size 21×15 . Depending on the original image size, using a proper wavelet to extract character feature is helpful for license character recognition, therefore, a bior wavelet from 1.1 to 3.7 was applied to transform all the license characters. Then the corresponding feature vector is extracted through the mean of wavelet coefficients. Assuming that the threshold coefficient a_m is 2, the feature vector of license "BC0026" in wavelet space under bior 1.1 and bior 2.8 are listed in Table V.

TABLE V. CHARACTER FEATURES OF "苏 BC0026"

Wavelet	Char	K_m	Wavelet Feature (Hex)
	苏	6.42	0793560033694959A2842661
			310876C7A340123261111418
			74781020386554B273361300
			0103613885A642C111231005
	В	7.36	109D15353740100104264270
			042425066408460C52032600
			104010200015901400100004
	С	6.17	22D001032000067111001031
bior 1.1			100001080010020101080110
0101 1.1	0	6.72	116471541002305122631031
			175764510110401737011002
			01050105A013125000051131
	0	4.65	08853000000010057710023
			2311133971A0115381000410
			0001005101011A1100721100
			06D0CC0058476311153843B8
	2	4.18	531100104473010051008210
			000013111008711010310013

			0C8490103796581147697597
	6	5.72	88600001868F010021001010
			1100214D3111459600112101
			0D8443013148469793231131
	苏	5.21	10023638D031010040601531
			401730002301964853259021
			02810103345C235310111240
	В	4.19	41345329321020000731A071
			032510113876011153183210
			01620030505C833020101011
	С	3.84	0181112010210139A11C1151
			0101001123101C2104201210
		6.72	108359210100063247311011
bior 2.8	0		08586340241010A731011610
			0210000132100102901C1810
	0	4.93	043I50110010021048011020
			124200C961AD101052103001
			000210162101098163104010
	2	3.65	184980021954C30012745241
			00010011622D283420303101
			120114501000283A00100110
			0298100108313002030117B8
	6	3.11	35101010038C210313000101
			20001301922010332B010100

In the same way, the feature vector of license "苏 BHC055" in wavelet space under bior 1.1 and bior 2.8 are listed in Table VI.

TABLE VI. CHARACTER FEATURES OF "苏 BHC055"

Wavelet	Char	K_m	Wavelet Feature (Hex)
			061300501306152031520000
	苏	9.10	4A94603C4100020000401104
			052020310000344120343100
			070200026202110005050005
	В	5.18	0E1100470360000200024202
			110005020004021100420340
		6.09	022000000020000001000F
	Н		F21000001100022000000002
			00000010000221000001100
			0234002000000002022160E2
bior 1.1	С	3.85	0000000005300000200000
	-		0000001000010000000000011
			0336010000000000040400C0
	0	5.32	0211100C3150011401000000
			000001020000021110002120
			002C000046060001050220B7
	5	3.78	00000000066002300000303
	-		000003000045000000000041
		4.83	024A0000560000403327004
	5		06300045505A013300004300
	5		000203221002043000233052
	苏	5.30	06A1A5621575573A22671576
			96C101A99240002050105100
			151901340525441040521320
		5.04	0888500586722101554800F7
	в		21C2106984D0011440015211
	_		310212140003111210544390
		6.11	012000007166000006000F
	н		1E00000EE3E0033000000300
		0.11	00000040001240001101210
			059491440000006265380D4
bior 2.8	C	1 22	4F3002296374016340220000
0101 2.0	C	7.22	000412342003471002132353
			046590000000000357900D3
	0	5 14	2E11133DD1E0014371100000
	0	5.14	000013230024312004211150
	5		0BA1B00454676203505840A5
		1 14	284000587486001120002222
		4.14	010115441006260100542222
	5		100113441000200100342232
		1 32	B841001594A9020320002311
		4.32	000110252004240000125151
			000110352004340000125151

After extraction of license character features, the feature vector is used to train the designed wavelet neural network. The adaptive wavelet neural network proposed in this paper is a single-layer network with wavelet functions as the activation functions. It's weights and hidden layer bias is also respectively replaced by the scale and frequency parameters of morlet wavelet function. That is to say, the activation function is wavelet function base. And a connection is constructed between wavelet transform and neural network through affine transform. Assume that the momentum constant α is 0.5 and the iteration number of network training is 5000, after initialing network weights of w, a and b, Fig. 12 demonstrates the convergence of the designed wavelet neural network. Fig. 13 demonstrates the convergence of the general BP network for the same input/output samples. It is obvious that the convergence of wavelet network is faster than general BP network's.



Figure 12. Convergence of wavelet neural network



Figure 13. Convergence of general BP neural network

By numerous experiments, comparison of convergence on average between wavelet network and general BP network is presented in Table VII.

TABLE VII.	CONVERGENCE COMPARISON OF GENERAL BP
	NETWORK AND WAVELET NETWORK

Epochs	SSE of BP Network	SSE of Wavelet Network
5000	3.675	0.689
10000	1.979	0.427
15000	1.357	0.342
20000	1.073	0.303
25000	0.923	0.276
30000	0.836	0.237
35000	0.804	0.218

We used 50 vehicle licenses as experiment data, 40 vehicle licenses as training samples and 10 vehicle licenses as the test samples. We find out the proposed algorithm can recognize the training license characters by 100% and also can predict non-training license characters accurately with the percentage of 98.7%.

V. CONCLUSIONS

In this paper, a novel algorithm based on adaptive wavelet neural network is presented for license character recognition. The detailed working process is expressed as follows: first to transform the color vehicle image into index image, then the index image will undergo wavelet transform to obtain high frequency sub-bands (LH, HL, HH). Secondly, features of the wavelet coefficients such as the mean, energy, entropy can be worked out, and a dynamic threshold will be obtained through these features. Thirdly, license candidates and non-license candidates were obtained by applying morphological operations. Fourthly, the extracted index license image will also undergo a wavelet transform to obtain high frequency sub-bands. And the bigger wavelet coefficients will be reserved through the threshold and undergo normalize process. Fifthly, the useful wavelet coefficients of each sub-bands will be projected horizontally and vertically to extract a group of statistical feature of license character. At last, with the input of character feature vector, the wavelet neural network will recognize it correctly. The experimental results with various kinds of the vehicle images demonstrate that the proposed method is effective to recognize license character automatically in a vehicle image. It is robust for license size, license color, background complexity and various illuminations.

The algorithm is executed at a computer of P4-2G, 1G taking nearly 0.6 seconds. So it needs to improve the calculation speed. To test the effectiveness of the approach proposed in this paper, we apply license localization algorithm in the moving vehicle images to locate the license, and also apply character recognition algorithm in generally printed character recognition. The experimental results are satisfactory. It is found that the proposed algorithm also meets difficulties in few vehicle images with strong variational illumination, badly distortion and some character images with strong complicated color and weak edge information. In addition, the linear combination and non-linear combination of mean, energy and entropy can be used to capture the threshold, which will optimize the algorithm and improve the accuracy of license localization and character recognition. For these shortcomings, these problems need to be tackled in future researches.

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