

# The Comprehensive Evaluation of New Energy Industry Developing Capability Based on Wavelet Neural Network Model

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**Abstract**—Accelerate the development and utilization of new energy sources, which is basic requirements of implementing the scientific development concept, and building a resource-saving and environment-friendly society, and which has very important meaning for adjusting industrial structure, changing the mode of economic development, expanding employment, and promoting economic society sustainable development, how to evaluate the new energy industry developing capability has been a key issues. In this paper, based on defining the new energy industry developing capability and analyzing the key factors, proposed the new energy industry developing capability index system, built comprehensive evaluation model of the new energy industry developing capability based on neural network; in Hebei Province, through the training set to train the network, get the simulation results of the testing set; the example has proved that the method has a certain scientific and practical, which can be fully used in the new energy industry developing capability, and be promoted in other areas.

**Index Terms**—wavelet neural network model; new energy industry; developing capability; Comprehensive evaluation

## I. INTRODUCTION

With the global energy shortage, environmental pollution and global warming have become increasingly prominent; promote actively the energy revolution, develop vigorously renewable energy, and accelerate the popularization and application of new energy sources, which have become an important strategic choice of fostering the new economic growth point, building a resource-conservation and environment-friendly society. Relative to developed countries, China's new energy industry, which development is relatively late start, technology is relatively backward, and the overall industry is not high, however, China has abundant natural resources and huge market demand space. Through the

focus on development the part few years, China has been rapid development in the field of new energy. The end of 2008, the new energy proportion of total primary energy has more than 9% in China. Among them, in China, the total installed capacity of wind power reached 12.21 million kilowatts, ranking the fourth in the world; the gross output of polycrystalline silicon materials more than 6,000 tons; the solar cell production reached 200 million kilowatts, accounting for 15% of global production, solar photovoltaic power generation capacity was 15 million kilowatts, the total collector area of solar water heaters reached 125 million square meters, the production capacity of solar water heater reached 4,000 million square meters, the usage and output accounting for 50% of the world total. The end of 2009, in China, the biomass generation power installed capacity reached 315 million kilowatts; the annual fuel ethanol production capacity reached 1649000 tons. China has made very big progress in the new energy development, and ranked first in many areas. The end of 2009, in China, the wind power installed capacity reached 17.58 million kilowatts, the solar power installed capacity reached 23 million kilowatts, compared to 2000, increased respectively 51 times and 7.7 times; photovoltaic power generation show explosive growth, the annual installed volume more than 160 MW, over the total installed capacity decades before 2009. Among them, the grid accounted for 85% of the total power generation, reaching 135 megawatts. At present, China's solar manufacturing capacity and utilization area has reached the world, the wind power doubled for several years, in 2009 the new wind power installed capacity more than 1,000 megawatts, ranking first in the world, followed by the United States and Germany. From the total installed capacity of view, ranking the third, behind the United States and Germany.

How to evaluate the new energy industry developing capability, division of regional advantages, divide region advantages, the advantages region drive the disadvantages

region, achieve the health and sustainable development of new energy industry, which has become an urgent issue. In this paper, use the combination of qualitative and quantitative analysis methods, based on building comprehensive evaluation index system of new energy industry developing capability, analyze and evaluate quantitatively by wavelet neural network model.

II. COMPREHENSIVE EVALUATION INDEX SYSTEM OF NEW ENERGY INDUSTRY DEVELOPING CAPABILITY

The new energy industry developing capability is a comprehensive and dynamic concept, which embodies a series of related capability, such as the resource supply capability, continuous innovation, personnel support capability, industry growth capability, environment-friendly and policy support, market expansion and promotion capability. Each capability can only enhance the new energy industry developing capability and then play a role in the development from different aspects, but the interaction between these capabilities has a direct impact on the new energy industry development, and ultimately decided that the strength of developing capability, the speed and the level of developing quality of new energy industry. The development of new energy industry can not? Capacity development is good or not? In this paper, discuss the problems from 6 fields, such as resources, technology, personnel, economic, environmental and market factors.

A. Resources

Resources are the basis for the new energy industry development: the more abundant resources, the more range widely and the more accessible, the greater developing potential and the stronger developing capability for the new energy industry, whereas the less developing potential and weaker developing capability. Including 4 secondary indicators, which are resources abundance, resources distribution, resources inputs, and resources utilization.

B. Technology

Technology is the core of the new energy industry development, which restricts directly the developing capability of the new energy industry: the more immature of new energy technologies, the uncertainty and risk of industry development is greater; as the technology continues to mature and improve, the larger space industry development, the stronger the development capability. Including 5 secondary indicators, which are R&D investment, technological innovation, R & D output, advanced technology, the technology industry level.

C. Personnel

Talent is the key to the new energy industry development, and the reasonable personnel structure is security: R&D personnel can enhance technological innovation; management personnel can promote technology demonstration, promotion and application; marketing personnel can accelerate the pace of industrialization. Including 3 secondary indicators, which are human inputs, personnel structure, and personnel management.

D. Economy

The interaction and mutual influence between economy and new energy industry development is that: the sustainable economic development requires the support of the new energy industry; powerful new energy industry can promote the economy to a more effective, more sustainable direction development. Including 4 secondary indicators, which are R&D costs, production costs, management costs, leading economic capacity.

E. Environment

The natural environment of new energy industry development is the increasingly harsh for the climate change, so the new energy technologies must be environmentally friendly technologies; policy environment is personnel constraints of new energy industry development, so the new energy industry conducive to structural adjustment easier to get the support of relevant policies, developing capability is increasing. Including 3 secondary indicators, which are environmental constraints capability, environmental supply capability, and environmental friendly capability.

F. Market

The market is touchstone of testing the new energy industry developing: with broad domestic and international markets, the new energy industry developing capability is relatively strong; only domestic or foreign markets, which is relatively weak. Including 3 secondary indicators, which are the allocation resources capability, market share and market growth skills.

III. THE THEORY AND MODEL CREATION OF WAVELET NEURAL NETWORK

A. Network Structure

Wavelet basis function network is neural network model based on wavelet analysis, which the non-linear wavelet takes the usual nonlinear Sigmoid function; the signal expression can be achieved by the selected wavelets linear superposition, which is a linear neuron output in the output layer.

Wavelet transform principle based on the following discrete: In the Hilbert space, choose a mother wavelet function  $\psi(x_{t-1}, x_{t-2}, \dots, x_{t-p})$ , to satisfy the admissibility condition

$$c_\psi = \int_{L^2} \frac{\left| \hat{\psi}(\omega_{x_{t-1}}, \omega_{x_{t-2}}, \dots, \omega_{x_{t-p}}) \right|^2}{\left| \omega_{x_{t-1}} \right|^2 + \left| \omega_{x_{t-2}} \right|^2 + \dots + \left| \omega_{x_{t-p}} \right|^2} \times d\omega_{x_{t-1}}, \dots, d\omega_{x_{t-p}} < +\infty \tag{1}$$

Where,  $\hat{\psi}(\omega_{x_{t-1}}, \omega_{x_{t-2}}, \dots, \omega_{x_{t-p}})$  is the Fourier transform of  $\psi(x_{t-1}, x_{t-2}, \dots, x_{t-p})$

Get wavelet functions through transforming  $\psi(x_{t-1}, x_{t-2}, \dots, x_{t-p})$ , which is scaling, translation and rotation.

$$\psi_{a, \theta, \bar{b}}(x_{t-1}, \dots, x_{t-p}) = a^{-1} \psi(a^{-1} r_{\theta}(x_{t-1} - b_{x_{t-1}}, \dots, x_{t-p} - b_{x_{t-p}})) \tag{2}$$

Denoted by  $\psi_{a, \theta, \bar{b}}(\bullet)$ , where  $a \in R$ , and  $a \neq 0$  is the expansion coefficient,  $\bar{b} = (b_{x_{t-1}}, \dots, b_{x_{t-p}}) \in R^p$  is translation vector. Rotation vector  $r_{-\theta}(x_{t-1}, x_{t-2}, \dots, x_{t-p})$  is defined as

$$r_{\theta}(x_{t-1}, \dots, x_{t-i}, \dots, x_{t-j}, \dots, x_{t-p}) = x_{t-i} \cos \theta - x_{t-j} \sin \theta$$

$$1 \leq i \leq j \leq p$$

Choose appropriately scaling and translation parameters,  $a > 0, \bar{b}, \psi_{a, \theta, \bar{b}}(\bullet)$  meet the framework nature of the function space based on  $\theta$

$$A \|f\|^2 \leq \sum_{a, \bar{b}} \left| \langle \psi_{a, \theta, \bar{b}}, f \rangle \right|^2 \leq B \|f\|^2$$

$$0 \leq A \leq B < \infty$$

(3)

Where, A and B are the framework sector.

The established wavelet basis function network, and its single hidden layer neuron activation function has been targeted set of wavelet functions  $\{\psi_{a, \theta, \bar{b}}(\bullet)\}$ , resort  $\{\psi_{a, \theta, \bar{b}}(\bullet)\}$  and get  $\psi_1(\bullet), \psi_2(\bullet), \dots, \psi_n(\bullet)$ , the form of the wavelet neural network approximation  $f(\bullet)$  is

$$\hat{f}(\bullet) = \sum_{i=1}^n \omega_i \psi_{a, \theta, \bar{b}}(x_{t-1}, x_{t-2}, \dots, x_{t-p}) \tag{4}$$

Can also be written

$$\hat{f}(\bullet) = \sum_{i=1}^n \omega_i \psi_i(\bullet) \tag{5}$$

Where,  $\omega_i$  can be used as weights between hidden layer nodes and output layer nodes;  $\{\psi_{a, \theta, \bar{b}}(\bullet)\}$  can be used as the output value of hidden nodes.

The error limit is  $O\left(n^{-\frac{1}{2}}\right)$  through formula (4) or

formula (5) approximating nonlinear function  $f(\bullet)$ .

### B. Learning algorithm and model Creation

All the parameters in Equation (4) together, collectively referred to as  $\phi, f_{\phi}(\bullet)$  instead of  $f(\bullet)$  in equation (4), train the network using learning algorithm based on

gradient descent, and the constructed cost function as follows:

$$E = c(\phi) = \frac{1}{2} \sum_p [f_{\phi}(\bullet) - y]^2 \tag{6}$$

Where, p is the number of training samples.

To recursive reduce standard formula (6) with the input / output data, after the gradient algorithm of each measurement, the parameter  $\phi$  retard along the gradient direction of function

$$c_K(\phi) = \frac{1}{2} [f_{\phi}(\bullet) - y]^2 \tag{7}$$

The goal is to determine  $\omega_i, a_i, b_i, \gamma - \theta$ , make wavelet network optimal sequence fitting between the predictive values  $f_{\phi}(\bullet)$  sequence and the actual value  $y_k$  sequence. Where,  $\omega_i, a_i, b_i, \gamma - \theta$ , can be optimized by type (7) the least square error energy function.

In this paper, Morlet wavelet can be as activation function of the wavelet neural network hidden node,  $\psi(t) = (e^{-i\omega_0 t} - e^{-\omega_0^2/2}) e^{-t^2/2}$ . When  $\omega_0 \geq 5$ ,  $e^{-\omega_0^2/2} \approx 0$ , so ignore the second, it can often be approximated as  $\psi(t) = e^{-i\omega_0 t} e^{-t^2/2}$ .

Remember  $\psi(x) = d\psi(x)/dx$ ,  $e_K f_{\phi}(\bullet) - y_K$ ,  $Z_i = a(x - t_i)$ , for the function (7), each component of each vector parameter, which the partial differentials are:

$$\frac{\partial c}{\partial f} = e_K \tag{8}$$

$$\frac{\partial c}{\partial \omega_i} = e_K \bullet \psi(Z_i) \tag{9}$$

$$\frac{\partial c}{\partial b_i} = -e_K \bullet \omega_i \bullet \gamma_{-\theta} \bullet \psi'(\gamma_{-\theta}(Z_i)) \tag{10}$$

$$\frac{\partial c}{\partial a_i} = -e_K \bullet \omega_i \bullet \gamma_{-\theta}^2 \bullet \psi'(\gamma_{-\theta}(Z_i)) \tag{11}$$

$$\frac{\partial c}{\partial \gamma_{-\theta}} = -e_K \bullet \omega_i \bullet (x - t_i) \bullet \psi'(\gamma_{-\theta}(Z_i)) \tag{12}$$

Among them, the weight update amount is  $\Delta \omega_i = \frac{\partial c}{\partial \omega_i}$ .

The calculation steps are:

- 1) Initialization  $\omega_i, a_i, b_i, \gamma - \theta$ ;
- 2) Calculate the parameters in step① according to equation (9) to (12);
- 3) The parameters obtained in step① into the Eq (4), solution  $\hat{f}(\bullet)$ ;

4) Calculate error,  $err = \sqrt{\frac{\sum_{i=1}^n (f_i(\bullet) - y_i)^2}{\sum_{i=1}^n y_i}}$ ;

5) Repeat steps 2) 3) 4), until it meets the precision requirements;

6)  $i = i + 1$ , turn to step 2).

By network learning, after achieving precision, the interconnection weights of the network parameters have been fully established, including the hidden layer and output layer, so the whole network system is stable, then other unknown samples can be identified and predicted.

IV. EXAMPLE APPLICATIONS

In this paper, Hebei Province, combined 6 major indicators, which are resources, technology, personnel, economic, environmental and market, comprehensive evaluated new energy industry developing capability for 11 districts in Hebei. Based on the collected historical data, the data and expert scoring of candidate evaluation objects, the data of each region separately dimensionless, and then get the following data, shown in Table 1. The first 8 groups can be as training set of wavelet network to train network; the last 3 groups can be as the test sets to simulate the object to be evaluated.

TABLE I. SIMULATION DATA

No	x1	x2	x3	x4	x5	x6
P1	.89	.78	.92	.95	.91	.91
P2	.92	.94	.87	.96	.95	.79
P3	.75	.76	.75	.63	.89	.71
P4	.79	.87	.78	.93	.79	.89
P5	.78	.78	.95	.89	.84	.84
P6	.92	.95	.79	.82	.87	.74
P7	.78	.75	.81	.95	.81	.78
P8	.65	.97	.93	.91	.78	.71
P9	.93	.96	.98	.89	.85	.96
P10	.89	.96	.71	.90	.57	.69
P11	.59	.51	.66	.62	.51	.79

CONTINUE

No	x7	x8	x9	x10	x11	x12
P1	.86	.98	.97	.71	.87	.78
P2	.81	.87	.88	.92	.91	.87
P3	.83	.72	.95	.91	.69	.73
P4	.95	.87	.79	.81	.90	.81
P5	.85	.83	.86	.96	.84	.93
P6	.89	.88	.87	.69	.96	.98
P7	.89	.91	.91	.90	.89	.89
P8	.87	.78	.74	.93	.79	.81
P9	.95	.97	.93	.86	.96	.96
P10	.90	.79	.92	.79	.74	.92
P11	.71	.60	.70	.69	.62	.59

CONTINUE

No	x13	x14	x15	x16	x17	x18
P1	.95	.91	.80	.93	.92	.92
P2	.95	.94	.96	.98	.98	.91
P3	.82	.89	.89	.87	.55	.96

P4	.98	.91	.98	.94	.84	.87
P5	.90	.78	.89	.78	.65	.89
P6	.78	.88	.94	.93	.78	.91
P7	.91	.79	.91	.91	.82	.92
P8	.79	.83	.79	.88	.93	.76
P9	.99	.89	.91	.94	.98	.96
P10	.86	.72	.84	.98	.78	.92
P11	.56	.57	.58	.82	.69	.78

CONTINUE

No	x19	x20	x21	x22	y
P1	.91	.86	.79	.93	.925
P2	.87	.92	.89	.79	.958
P3	.79	.85	.85	.74	.753
P4	.97	.78	.96	.87	.944
P5	.96	.79	.87	.91	.859
P6	.92	.89	.75	.90	.932
P7	.90	.85	.70	.89	.882
P8	.87	.86	.79	.75	.831
P9	.95	.89	.80	.73	
P10	.87	.79	.96	.70	
P11	.69	.73	.89	.75	

Training process can be achieved by drawing up wavelet network program based on Matlab; Training results are shown in Table II. They are very close to the actual evaluation values, the simulation results of three groups of untrained test data shown in Table III.

TABLE II. TRAINING RESULTS

No	P1	P2	P3	P4
Training results	.9235	.9647	.7420	.9512
Desired output	.925	.958	.753	.944
Relative error %	.1622	.6994	1.4608	.7627

CONTINUE

No	P5	P6	P7	P8
Training results	.8634	.9421	.8817	.8274
Desired output	.859	.932	.882	.831
Relative error %	.5122	1.0836	.0340	.4332

TABLE III. SIMULATION RESULTS

No	P9	P10	P11
Training results	.9615	.8063	.6488
Sort Results	P9 > P10 > P11		

Favorably to the inferior sort of the simulation results is P9>P10>P11, which can determine the strength of the all regions new energy industry developing capability.

V. CONCLUSION

In this paper, establish a comprehensive evaluation index system for new energy industry developing capability, and then put forward the comprehensive evaluation method based on wavelet neural network for the unknown weights of index system. The method is simple; obtain the required weight by the learning and training process of the actual data, then make a comprehensive evaluation for the test data and obtain favorably to the inferior sort. This method can avoid the subjectivity and uncertainty of artificial weight

calculation, also can make up the shortcomings of BP neural network, which accuracy is not high, convergence is poor and the selection is difficult; it has a strong practical significance for solving the problem of the new energy industry developing capability evaluation.

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