Abstract: Next generation communication systems aim mainly to data throughput improvement for any wireless channel conditions. According to Shannon formula, UWB system with gigahertz band can reach ultra-high data transmission rate. Multiband orthogonal frequency division multiplexing (MB-OFDM) for ultra wideband (UWB) technology presents a potential candidate for the diverse set of high-rate performance short-range applications. When combined with a multiple-input multiple-output (MIMO) and a space time code (STC) transmission scheme, MB-OFDM UWB presents a viable way to improve channel capacity and expand coverage area. This paper presents an analysis on the capacity and performance of MIMO STC-MB-OFDM systems over UWB channels. Also, this work will compare the Alamouti code and the algebraic space–time–code (ASTC) capacity when used in MIMO MB-OFDM system. It will be shown that ASTC code can provide significantly higher channel capacity and better BER performance when used in a MIMO MB-OFDM system.

Key words: BER, channel capacity, MB-OFDM UWB, MIMO, STC.

1. Introduction

Recently the heavier use of digital imaging and multimedia applications introduce huge demands for high data rate wireless links. Due to its use of a high-frequency bandwidth, UWB system is a good candidate to meet such requirement by offering high data rates for a low cost and at a low transmission power level [1]. Federal communications commission (FCC) has already assigned the spectrum from 3.1 GHz to 10.6 GHz for unlicensed use by UWB applications [2].

Information theory indicates that by exploiting appropriate space–time signal-processing techniques in a multipath wireless channel scattering, a multi-input-multi-output (MIMO) system is able to support enormous capacities [3]. By using a temporal and a spatial multiplexing modulation, the space-time codes (STC) are used to improve MIMO performances. Among various STCs, of particular interest are the Alamouti codes [4] and the Algebraic Space-Time Codes (ASTCs), which have many advantages than other STCs [5].

Indeed, the association of UWB MB-OFDM, MIMO, and STCs technologies will provide a significant improvement in the maximum achievable communications range, bit error performance, data rate and also system capacity.

This work analyzes the BER performance and the capacity of MIMO MB-OFDM system under UWB channels, and using two Space-Time-Coding (STC) schemes: the Alamouti code and ASTC code.

The outline of this paper is organized as follows. In Section II, we revise WiMedia’s MB-OFDM UWB PHY
specifications. In Section 3, we analyze the mathematical model of STC MIMO MB-OFDM system. In Section 4, we present the channel capacity expression. Simulation results are mentioned in Section 5 and conclusions are drawn in Section 6.

2. MB-OFDM UWB Overview

The technique for designing an MB-OFDM UWB system is to combine OFDM modulation technique with a multi-banding. The spectrum is divided into several sub-bands, whose bandwidth is approximately 500 MHz [6], [7]. The system operates in one sub-band and then switches to another sub-band after a short time. In each sub-band, OFDM modulation is used to transmit data symbols. In order to exploit the spectral diversity, the transmitted symbols are time interleaved across the sub-bands. This approach possess many advantages such as: having the same average transmitted power as a system operating over the entire bandwidth, processing the information over much smaller bandwidth, which reduces power consumption and lowers cost, improving spectral flexibility and worldwide compliance.

For MB-OFDM transmission, the bandwidth is subdivided into five frequency parts [8]. Each part is divided into sub-bands, each having a bandwidth of 528 MHz. In each sub-band, orthogonal frequency division multiplexing (OFDM) is applied. Frequency Hoping (FH) between different bands is supported so that the transmitted signal hops between sub-bands in every OFDM symbol duration that is 312.5 ns [7]. Fig. 1 presents the MB-OFDM spectrum allocation. Each sub-band contains 128 subcarriers. Ten of these are used as guard tones, twelve of the subcarriers are devoted to the pilot signals, and 100 are for information. The remaining six tones are set to zero, according to [7]. Different information data rates of 55, 80, 110, 160, 200, 320, and 480 Mb/s can be achieved. The system parameters for the MB-OFDM solution are given in Table 1.

MB-OFDM system utilizes time-frequency coding (TFC) to interleave data over sub-bands. As an example in Fig. 2, TFC is performed over three OFDM symbols and sub-bands and using a TFC of length 3. The TFCs are used not only to supply frequency diversity in the system, but also to supply multiple accesses. Guard intervals of 9.47 ns are providing sufficient time for transmitter and receiver to switch to the next carrier frequency.

3. STC-MB-OFDM-MIMO System

Existing of some similarities between the conventional STC-MIMO OFDM systems and STC-MIMO MB-OFDM ones invite us to more specifically analyze the latter systems. The Golden code [9] and the Alamouti code [4] represent the most known and used Space-Time Block codes (STBCs). The Golden code, which has been proposed in 2004, has many advantages: full-rate and full-diversity space time code with maximal coding gain. For flat fading channels, it was shown in [5] that the algebraic construction of ASTC codes makes it more efficient than the Alamouti codes. In a frequency-selective channel, the ASTC codes lose their proprieties due the inter-symbol interference (ISI). This can be overcome by the orthogonal
frequency division multiplexing (OFDM) modulation. ASTC codes combined with OFDM modulation maintain their properties and outperform the classical Alamouti MIMO-OFDM systems [5]. In this sub-section, we propose to study the ASTC codes under UWB channel and for a MB-OFDM system design compared to Alamouti-MB-OFDM one.

<table>
<thead>
<tr>
<th>Table 1. System Parameters for the MB-OFDM System</th>
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<tr>
<td>Info. Data Rate</td>
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<td>Constellation</td>
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<tr>
<td>FFT Size</td>
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3.1. System Model

The fundamental transmitter and receiver structure of an STC MB-OFDM system is illustrated in Fig. 3. At the transmitter, binary information sources are first whitened by the scrambler, then encoded by the convolutional encoder and afterwards interleaved in order to exploit time–frequency diversity and combat multipath fading. The resulting bit sequence is mapped into constellation symbols. Let’s denote $S = [S_1, S_2, \ldots, S_n]$ of size $N = n*N_{\text{fft}}$, where $N_{\text{fft}}$ is the number of subcarriers of the OFDM modulator, defined as an OFDM packet. Structures of $S$ are the same as the structure of OFDM system, except that every element $S_i$ is a column vector and not a number, $S_i = [S_{i,1}, S_{i,2}, \ldots, S_{i,N_{\text{fft}}}]^T$. The vectors $S_i$ are the original transmitted data before IFFT. The vectors $S_{i,j}$ are drawn from a QPSK constellation.

The traditional single antenna MB-OFDM system can be improved by exploiting the space-time code (STC), with $N_t$ transmit antenna and $N_r$ receive antenna. In this section, we consider the Alamouti code and the proposed ASTC code in MB-OFDM system using $N_t=2$ transmit antennas and any number of receive antennas. The STC transmission matrix $X_{\text{STC}}$ corresponding to Alamouti code is:

$$X_{\text{Alamouti}} = \begin{bmatrix} S_i & S_{i+1} \\ -S_i^* & S_{i+1}^* \end{bmatrix}$$

(1)

For the ASTC code, let’s denote $v_k = [S_{4k-3}, S_{4k-2}, S_{4k-1}, S_{4k}]^T$ the mapped symbols ($k=1\ldots n/4$). The STC transmission matrix $X_{\text{STC}}$ corresponding to ASTC code is given by:

$$X_{\text{ASTC}} = \frac{1}{\sqrt{5}} \begin{bmatrix} \alpha v_k(1) + \theta v_k(2) & \alpha v_k(3) + \theta v_k(4) \\ \alpha v_k(1) - \theta v_k(2) & -\alpha v_k(3) - \theta v_k(4) \end{bmatrix}$$

(2)

where $\theta = \frac{1 + \sqrt{5}}{2}, \quad \bar{\theta} = \frac{1 - \sqrt{5}}{2}, \quad \alpha = 1 + i\theta, \quad \bar{\alpha} = 1 + i\bar{\theta}$.

We are coding $L=4N_{\text{fr}}$ symbols at the same time with ASTC code; however we code only $2N_{\text{fr}}$ symbols with Alamouti. Antenna 1 transmits the first column of STC transmission matrix and antenna 2 transmits the second one, in different time slots. We can re-express the total code word $X_{\text{STC},i}$ at time $(n_i, n_{i+1})$ as the follows:
\[ X_{\text{Alamouti}} = \begin{pmatrix} (S_i)_{(i,1)} \\ (S^*_i)_{(i+1,1)} \\ (S_i)_{(i,2)} \\ (S^*_i)_{(i+1,2)} \end{pmatrix} \]

\[ X_{\text{ASTC}} = \Phi N_k = \frac{1}{\sqrt{2}} \begin{pmatrix} \alpha & \alpha \theta & 0 & 0 \\ 0 & 0 & \alpha & \alpha \theta \\ 0 & 0 & \alpha & \alpha \theta \\ \alpha & \alpha \theta & 0 & 0 \end{pmatrix} \]

where

\[ \Phi = \begin{pmatrix} \alpha & \alpha \theta & 0 & 0 \\ 0 & 0 & \alpha & \alpha \theta \\ 0 & 0 & \alpha & \alpha \theta \\ \alpha & \alpha \theta & 0 & 0 \end{pmatrix} \]

After applying the \( N_{\text{fft}} \)-point IFFTs of STC transmission matrix elements, we have

\[ X_{\text{OFDM}} = \{ \text{IFFT} \{ X_{\text{STC}}, j \} \} = \{ x_{\text{OFDM}, j} \} \]

where

\[ x_{\text{OFDM}, j} = \frac{1}{\sqrt{N_{\text{fft}}}} \sum_{k=0}^{N_{\theta}-1} X_{\text{STC}, j}(k) e^{\frac{j 2\pi \theta}{N_{\theta}}} \]

A cyclic prefix of length \( N_{\text{CP}} (N_{\text{CP}} \leq N_{\text{fft}}) \) is added to the IFFT rows outputs to eliminate ISI. Let’s denote \( X_{\text{CP}} = \{ x_{\text{CP}, j} \} \) where \( x_{\text{CP}, j} = \{ x_{\text{CP}, j, 1}, x_{\text{CP}, j, 2}, \ldots, x_{\text{CP}, j, N_{\theta} + N_{\text{CP}}} \} \).

\( x_{\text{CP}, j} \) are converted into a continuous-time baseband signal \( x_{\text{CP}, j}(t) (n=1..N) \) for transmission: elements \( x_{\text{CP}, j,k} \) in each row of \( X_{\text{CP}} \) are transmitted in the same frequency band, whereas different rows of \( X_{\text{CP}} \) might devolve in different frequency bands, by applying a certain TFC. As shown in Fig. 2, the first row of \( X_{\text{CP}} \) is transmitted on sub-band 1, the second row is transmitted on sub-band 3, the third row is transmitted on sub-band 2, the fourth row is transmitted on sub-band 1, and so on.

3.2. Channel Model

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Fig. 3. Block diagrams of the transmitter (a) and the receiver (b) of an STC-MB-OFDM system.
The statistical description of the IEEE 802.15.3a UWB channel employs a Saleh–Valenzuela model [10]. This model describes the time of arrival of the scattered rays at the receiver after multipath propagation where multipath components arrive in clusters. Independent fading is assumed for each cluster as well as each ray within the cluster.

In MIMO systems we deal with the space diversity of the multi-antenna. In order to reflect this effect, the antenna relativity is neglected; therefore we may rewrite the channel model expression as:

\[ h_{i,j}(t) = X \sum_{l=0}^{L} \sum_{k=0}^{K} \alpha_{l,j}^k \delta(t - T_{i,j}^l - \tau_{i,j}^k) \]  

where \( \alpha_{l,j}^k \) are the multipath gain coefficients, \( l \) refers to the cluster, and \( k \) refers to the arrival within the cluster; \( T_l \) is the delay of the \( l \)-th cluster; \( \tau_{i,j}^k \) is the delay of the \( k \)-th multipath component relative to the \( l \)-th cluster arrival time \( T_l \); \( X \) is the log-normal shadowing. \( i \) and \( j \) represent the \( i \)-th transmit and the \( j \)-th receive antenna. We define a \( N_r \times N_t \) step matrix \( H_{k,t} \), as follows:

\[
H_{k,t} = \begin{bmatrix}
    h_{1,1}^k & h_{1,2}^k & \ldots & h_{1,N_t}^k \\
    h_{2,1}^k & h_{2,2}^k & \ldots & h_{2,N_t}^k \\
    \vdots & \vdots & \ddots & \vdots \\
    h_{N_r,1}^k & h_{N_r,2}^k & \ldots & h_{N_r,N_t}^k
\end{bmatrix}
\]  

where \( h_{n,m}^k \) expressed channel frequency response from the \( n \)-th transmission antenna to the \( m \)-th receive antenna in the \( k \)-th subcarrier at the \( t \) time.

### 3.3. Receiver Structure

The signal received at each receive antenna is a superposition of the \( N_t \) transmitted signals corrupted by additive white Gaussian noise \( w_m^r \):

\[ r_{m}(t) = \sum_{n=1}^{N_t} x_{i,n}(t) \otimes h_{n,m}^i + w_m^r \quad n=1..N_t, m=1..N_r \]  

where \( \otimes \) defines linear convolution and \( h_{n,m}^i = [h_{n,m,1}, h_{n,m,2}, \ldots, h_{n,m,N_{tt, OFDM}}] \). The received RF signal at each receive antenna is down-converted to a complex baseband signal, and then sampled before passing through an OFDM demodulator to have \( \hat{X}_{CP,n} = \{\hat{x}_{CP,j,n}\} \), \( n=1..N_t \). After carrier demodulation and CP elimination, we can apply this linear convolution property:

\[ \hat{x}_{\text{OFDM},i,n} \otimes h_{n,m}^i = IFFT(FFT(\hat{x}_{\text{OFDM},j,n}) \bullet FFT(h_{n,m}^i)) = IFFT(\hat{X}_{\text{STC},j,n} \bullet h_{n,m}) \]  

where \( \bullet \) defines element-wise (or Hadamard) product and \( h_{n,m} = FFT(h_{n,m}^i) = [h_{n,m,1}, h_{n,m,2}, \ldots, h_{n,m,N_{tt, OFDM}}] \).

Then we perform the unitary fast Fourier transform on the remaining streams

\[ \hat{r}_m = \sum_{n=1}^{N_t} \hat{X}_{\text{STC},n} \bullet h_{n,m} + \hat{w}_m \quad n=1..N_t, m=1..N_r \]  

where \( \hat{w}_m = FFT(\hat{w}_m^i) = [\hat{w}_m,1, \hat{w}_m,2, \ldots, \hat{w}_m,N_{tt, OFDM}] \).

We can rewrite the last equation in matrix form as follows

\[ R = S \bullet H + W \]
In fact, the mathematical model of the wireless STC MIMO system and the STC MB-OFDM UWB system are similar except that the matrix elements are scalar numbers in the conventional STC MIMO system, while they are \(N_{\text{fft}}\)-length vectors in the STC MB-OFDM UWB system. The ML decoding algorithm is then applied

\[
\{\hat{S}\} = \arg\min_{[\cdot]} \|R - S \cdot H\|_F^2
\]

\(S\) has the similar structures as the conventional STCs, so the MB-OFDM symbols \(S_i\) preserve their orthogonality, and the ML decoding metric isn’t too complicated to be performed. Therefore, the STC MB-OFDM UWB decoding preserves decoding simplicity, i.e., each MB-OFDM symbol \(S_i\) can be decoded separately, rather than jointly. Thus, based on decoding metrics for the respective STC of \(S_i\), decoding metrics for the MB-OFDM symbols \(S_i\) will be readily to find. Thus the decoding process is completely linear, and relatively simple.

### 3.3.1. Alamouti MB-OFDM decoding process

At the receiver side, we can re-express the received signal by antenna \(m\) during two time slots as

\[
\begin{bmatrix}
\hat{r}_{1,m} \\
\hat{r}_{2,m}
\end{bmatrix} = \begin{bmatrix}
S_1 & S_2 \\
-S_2^* & S_1^*
\end{bmatrix} \begin{bmatrix} h_{1,m} \\ h_{2,m} \end{bmatrix} + \begin{bmatrix}
\tilde{w}_{1,m} \\
\tilde{w}_{2,m}
\end{bmatrix}
\]

Which can be written as

\[
\begin{bmatrix}
\hat{r}_{1,m} \\
\hat{r}_{2,m}
\end{bmatrix} = \begin{bmatrix} h_{1,m} & h_{2,m} \\ h_{2,m}^* & -h_{1,m}^* \end{bmatrix} \begin{bmatrix} S_1 \\ S_2 \end{bmatrix} + \begin{bmatrix}
\tilde{w}_{1,m} \\
\tilde{w}_{2,m}^*
\end{bmatrix}
\]

This is equivalent to

\[
\hat{r}_m = \overline{H}_m S + \tilde{w}_m^*, \quad m=1,2,...,N_r
\]

where \(\overline{H}_m \overline{H}_m^H = \left( |h_{m,1}|^2 + |h_{m,2}|^2 \right) I\).

We assume that the receiver has full channel knowledge, a decision statistics can be obtained as

\[
z_m = \begin{bmatrix} z_{1,m} \\ z_{2,m} \end{bmatrix} = \overline{H}_m^H \hat{r}_m = \overline{H}_m^H \overline{H}_m S + \overline{H}_m^H \tilde{w}_m^*
\]

Adding all statistics from all \(N_r\) receive antennas yields

\[
z = \begin{bmatrix} z_1 \\ z_2 \end{bmatrix} = \sum_{m=1}^{N_r} \begin{bmatrix} z_{1,m} \\ z_{2,m} \end{bmatrix} = \sum_{m=1}^{N_r} \left( |h_{m,1}|^2 + |h_{m,2}|^2 \right) S_1 + \sum_{m=1}^{N_r} \tilde{w}_{1,m}^T
\]

where \(w_{1,m}\) and \(w_{2,m}^*\) are the noise terms. Thus, due to the space-time code design and the receive side treatment, the decoding processing is simplified: data symbols \(S_1\) and \(S_2\) are decoupled and can be decoded independently.

In the case of \(N_c=2\) and \(N_r=1\), we use Alamouti property to restitute original symbols, thus the ML decision rule can be stated as:

\[
\hat{S}_1 = \arg\min_{[\cdot]} \left\| h_{1,1} \cdot S_1 + h_{2,1} \cdot S_2^* - r \right\|_F^2
\]

\[
\hat{S}_2 = \arg\min_{[\cdot]} \left\| h_{2,1}^* \cdot S_1 - h_{1,1}^* \cdot S_2^* - r \right\|_F^2
\]

### 3.3.2. ASTC MB-OFDM decoding process

At time \((n_i, n_{i+1})\), equation (14) can be re-written as

\[
R_{n_i} = H_{n_i} X_{\text{ASTC}} + w_{n_i}
\]
where

\[
H^\nu = \begin{bmatrix}
h_{1,1}^{\nu} & h_{1,2}^{\nu+1} & 0 & 0 \\
h_{2,1}^{\nu} & h_{2,2}^{\nu+1} & 0 & 0 \\
0 & 0 & h_{1,1}^{\nu+1} & h_{2,2}^{\nu+1} \\
0 & 0 & h_{1,2}^{\nu+1} & h_{2,2}^{\nu+1}
\end{bmatrix}
\]  

(21)

where \(h^\nu\) are the \(N_{\text{tx}}\) channel coefficients at time \(n\). To decode the received signal we use the MMSE decoder. The solution of the linear MMSE is given by [11]:

\[
\hat{X}_{\text{MMSE}} = \left(\frac{1}{\text{SNR}} I + \overline{H}_m^H \overline{H}_m\right)^{-1} \overline{H}_m^H R_m
\]

(22)

where \(\overline{H}_m\) is a \(N^*4\) matrix, \(R_m\) is a \(N^*1\) vector and \(I\) is the identity \(N*N\) matrix. After channel compensation, we decode the decision variable \(\hat{X}_{\text{MMSE}}\) by using zero forcing sub-optimum decoder which reduces the numerical complexity without significant performance loss. The decision vector for each \(L\) transmitted symbol is then

\[
\hat{v}_{\text{MMSE}} = \Phi^{-1}\hat{X}_{\text{MMSE}}
\]

(23)

4. Channel Capacity

In this part we determine the analytic capacity expression of MIMO STC-MB-OFDM system. First of all we should determine the mutual information between transmitted information \(S\) and received information \(R\). Then we can deduce the analytic expression of the information capacity of an STC-MB-OFDM system for a given channel matrix \(H\). The mutual information is given by

\[
I(S,R) = H(R) - H(R/S) = H(R) - H(W)
\]

(24)

where \(H(R)\) denotes the entropies of multivariate distribution \(R\). The capacity is obtained by maximizing the mutual information

\[
C = \max(I(S,R)) = \max(H(R)) - H(W)
\]

(25)

So we have to determine the exact expression of \(H(R)\) to obtain the capacity expression. For a MIMO Alamouti system, the capacity expression is given by [12]:

\[
C = \log_2\left[\det(I_{N_r} + \frac{\rho}{N_t} H^H H)\right] \text{bits/s/Hz}
\]

(26)

where \(\rho\) presents mean signal to noise ratio.

It has been shown in [13] that the expression of the capacity of a MIMO ASTC system can be expressed as

\[
C = \log_2\left[\det(I_{N_{\text{fft}}N_t} + \frac{1}{\sigma_w^2} Q_X H^H H)\right] \text{bits/s/Hz}
\]

(27)

where \(Q_X\) is the covariance matrix and can be expressed as

\[
Q_X = \left\{\tilde{\Phi}\tilde{\Phi}\right\}^{\nu}_{N_{\text{fft}}N_t}
\]

(28)

and \(\tilde{\Phi}\) is a copy of \(\Phi\) \((N_{\text{tx}}*N_t/4)\) times.

By averaging over the capacity of \(N_{\text{tx}}*N_t\) channels we derive the following capacity [13]:
\[ C \leq \log_2 \left[ 1 + \frac{1}{\sigma_n^2} N_{\text{fft}} N_i L P \right] \]  

where \( P \) denotes the power to each channel coefficient in time domain.

5. Simulation Results

In this part, we first begin by analyzing the BER performance and then the capacity of MIMO MB-OFDM system under UWB channels, and using two STC coding schemes: the Alamouti code and the ASTC code.

5.1. ASTC MB-OFDM UWB vs. Alamouti MB-OFDM UWB Performances

Compared to the conventional MB-OFDM system, simulation results in Fig. 4 show that the Alamouti MB-OFDM system achieves a significant improvement in bit error ratios (BER). The use of two Rx and Tx antennas evolves the BER of Alamouti MB-OFDM systems by approximately 5 dB at BER = 10^{-3} for CM1 channel.

By comparing the BER performances of the ASTC code and the classical Alamouti code in UWB MB-OFDM environment for two Rx antennas, we can see that for a high SNR, the ASTC code have a good performance in terms of BER. It provides a gain of about 4 dB for a BER equal to 10^{-4} and for CM1 channel, compared to Alamouti code. This gain comes from the fact that we are coding 4\times N_{\text{fft}} symbols at the same time with Golden code, however we code only 2\times N_{\text{fft}} symbols with Alamouti code, then the gain still significant in terms of rate.

![Fig. 4. Performance of SISO MB-OFDM UWB system, Alamouti MB-OFDM UWB system and ASTC MB-OFDM UWB system.](image)

5.2. Capacity Analysis

In this section we present a comparison between the capacity of a SISO MB-OFDM system (using one Tx antenna and one Rx antenna), the capacity of a MIMO Alamouti MB-OFDM system and the capacity of a MIMO ASTC MB-OFDM.

As expected, the capacity bounds increase monotonically as SNR increases. Capacity for a SISO system is lower than capacity for MIMO systems, so larger quantity of transmitter/receiver antenna can improve channel capacity. Also, it can be observed in Fig. 5 that ASTC code provides higher capacity than the Alamouti code by about 2 bit/sec/Hz since it has full-rate and full-diversity.
6. Conclusions

MB-OFDM UWB technology presents a potential candidate for the diverse set of high-performance short-range applications. In this paper, we have studied a MIMO MB-OFDM UWB system performance using two schemes of space-time-coding, in terms of BER and capacity. Numerical results show that ASTC code has a reasonable BER performance and capacity that outperform the classical Alamouti code when implemented in an UWB MB-OFDM system over various realistic UWB channels.

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